

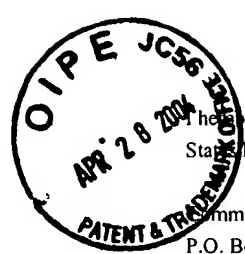


TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/769,229
		Filing Date	January 30, 2004
		First Named Inventor	Lai, Canfeng
		Art Unit	Not yet known
		Examiner Name	Not yet known
Total Number of Pages in This Submission		Attorney Docket Number	A5152D4/T39540

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Townsend and Townsend and Crew LLP Patrick M. Boucher Reg. No. 44,037
Signature	
Date	April 26, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Nicole M. Wartell		
Signature		Date	April 26, 2004



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PATENT
Attorney Docket No.: A5152D4/T39540
AMAT No.: 005152 D4
USA/DSM/HDP/CVD/JW
TTC No.: 016301-039540US

On April 20, 2004

TOWNSEND and TOWNSEND and CREW LLP

By: Nicole Warten

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Canfeng Lai et al.

Application No.: 10/769,229

Filed: January 30, 2004

For: MULTI-CORE TRANSFORMER
PLASMA SOURCE

Examiner: Not yet known

Art Unit: Not yet known

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. This application is a divisional application of and relies on U.S. Appl. No. 09/839,360, filed on April 20, 2001 (the "parent application") for an earlier effective filing date under 35 U.S.C. § 120. All of the references were submitted to or by the U.S. Patent and Trademark Office in the parent application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

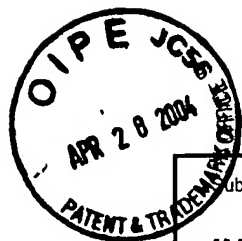
Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-1074. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Patrick M. Boucher
Reg. No. 44,037

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: 303-571-4000
Fax: 303-571-4321
PMB:nmb
60202452 v1



Substitute for form 1449A/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	10/769,229	
			Filing Date	January 30, 2004	
			First Named Inventor	Lai, Canfeng	
			Art Unit	Not yet known	
			Examiner Name	Not yet known	
Sheet	1	of	2	Attorney Docket Number	A5152D4/T39540

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	A1	US-3,291,715	12-13-1966	G.S. Anderson	
	A2	US-4,086,506	04-1978	Kustom et al.	
	A3	US-4,277,306	07-1981	Ohkawa	
	A4	US-4,431,898	02-1984	Reinberg et al.	
	A5	US-4,585,986	04-1986	Dyer	
	A6	US-4,666,734	05-1987	Kamiya et al.	
	A7	US-4,713,208	12-1987	Furth et al.	
	A8	US-4,859,399	08-1989	Bussard	
	A9	US-4,863,671	09-1989	Okada	
	A10	US-4,868,919	09-1989	Tanaka et al.	
	A11	US-5,014,321	05-1991	Klein	
	A12	US-5,041,760	08-1991	Koloc	
	A13	US-5,174,875	12-1992	Hurwitt et al.	
	A14	US-5,290,382	03-01-1994	Zarowin et al.	
	A15	US-5,464,476	11-07-1995	Gibb et al.	
	A16	US-5,505,780	04-1996	Dalvie et al.	
	A17	US-5,514,246	05-1996	Blalock	
	A18	US-5,560,776	10-01-1996	Sugai et al.	
	A19	US-5,591,493	01-07-1997	Paranjpe et al.	
	A20	US-5,619,103	04-08-1997	Tobin et al.	
	A21	US-5,632,869	05-1997	Hurwitt et al.	
	A22	US-5,811,022	09-1998	Savas et al.	
	A23	US-5,939,886	08-1999	Turner et al.	
	A24	US-5,998,933	12-07-1999	Shun'ko	
	A25	US-6,164,240	12-26-2000	Nikulin	
	A26	US-6,374,831	04-23-2002	Chandran et al.	
	A27	US-6,392,351 B1	05-2002	Shun'ko	
	A28	US-6,432,260 B1	08-2002	Mahoney et al.	
	A29	US-6,486,431 B1	11-2002	Smith et al.	
	A30				

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	B1	PCT	WO 90/10945		09-20-1990			<input type="checkbox"/>
	B2	PCT	WO 99/00823		01-07-1999			<input type="checkbox"/>
	B3	JP	2-260399		10-23-1990			<input type="checkbox"/>
	B4	JP	5-166595		07-02-1993			<input type="checkbox"/>
	B5	JP	5-144594		06-11-1993			<input type="checkbox"/>
Examiner Signature					Date Considered			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.
60202452 v1

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/769,229	
			Filing Date	January 30, 2004	
			First Named Inventor	Lai, Canfeng	
			Art Unit	Not yet known	
			Examiner Name	Not yet known	
Sheet	2	of	2	Attorney Docket Number	A5152D4/T39540

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1	Dean, S.O. et al., "Status and Objectives of Tokamak Systems for Fusion Research," <i>USERDA Report WASH-1295</i> , (1974) (available from the Superintendent of Documents, U.S. Government Printing Office) pp. i-106.	
	C2	Furth, H.P. "Tokamak Research," <i>Nuclear Fusion</i> , 15, pp. 487-534 (1975).	
	C3	Eckert, H.U. "Induction Plasmas at Low Frequencies," <i>AIAA Journal</i> , Vol. 9, No. 8, pp. 1452-1456 (August 1971).	
	C4	Hagler, M.O. et al. "An Introduction to Controlled Thermonuclear Fusion," <i>Library of Congress Cataloging in Publication Data</i> , pp. 59-61 and 178 (1977).	
	C5	Kändler, E. et al. "Characterization of Plasma in an Inductively Coupled High-Dense Plasma Source," <i>Surface and Coatings Technology</i> , 74-75, pp. 539-545 (1995).	
	C6		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.